



Docket No.: LU05017USU (Bao 40-52)  
Serial No.: 10/701,185

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Bao, Zhenan et al.

DOCKET NO.: LU05017USU (Bao 40-52)

SERIAL NO.: 10/701,185

GROUP ART UNIT: 2811

DATE FILED: November 4, 2003

EXAMINER: Gebremariam, Samuel A.

CONFIRMATION NO.: 5035

**TITLE: METHODS OF MAKING THIN DIELECTRIC LAYERS ON SUBSTRATES**

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 31, 2005.

*Bonnie S. Sheridan*  
Bonnie S. Sheridan

October 31, 2005

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT TRANSMITTAL**

Sir:

Transmitted herewith is a Preliminary Amendment under 37 CFR § 1.114 for this application.

**STATUS**

Applicant is other than a small entity.

EXTENSION OF TIME

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply. It is believed that no extension of time is required.

No additional fee for extension of time is required.

However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

The fee for claims (37 CFR § 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)	Other than a small entity	
	Claims remaining after amendment		Highest no. previously paid for	Present extra	Rate	Additional fee
Total	20	minus	20	= 00	x \$50 =	\$0
Indep.	03	minus	03	= 00	x \$200 =	\$0
First presentation of multiple dependent claim					+ \$360 =	\$0
TOTAL ADDITIONAL FEE						\$0

\* If the entry in column 1 is less than the entry in Col. 2, enter "0" in Col. 3.

\*\* If the "Highest no. previously paid for" in Col. 2, Row 1 is less than 20, enter "20".

\*\*\* If the "Highest no. previously paid for" in Col. 2, Row 2 is less than 3, enter "3".

The "Highest no. previously paid for" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment of the number of claims originally filed.

No additional fee for claims is required.

FEE DEFICIENCY

If any additional extension and/or fee is required, please charge Deposit Account No. 50-2542.

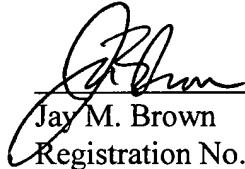
If any additional fee for claims is required, please charge Deposit Account No. 50-2542.

Respectfully submitted,

THE ECLIPSE GROUP

Date: October 31, 2005

By:

  
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Bao 40-52  
LU05017USU



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Bao, Zhenan et al.

Serial No.: 10/701,185

Filed: November 4, 2003

For: METHODS OF MAKING THIN DIELECTRIC LAYERS ON SUBSTRATES

Group: 2811

Confirmation: 5035

Examiner: Gebremariam, Samuel A.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date set forth below:

Signed: Bonnie S. Sheridan Name: Bonnie S. Sheridan Date: October 31, 2005

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Durham, North Carolina  
October 31, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Preliminary Amendment Under 37 C.F.R. § 1.114

Sir:

Please amend the above identified application as follows.